



Bereskin & Parr



Barristers and Solicitors/Patent and Trade Mark Agents
Practice Restricted to Intellectual Property Law

December 18, 2001

Micheline Gravelle B.Sc., M.Sc. (Immunol.)
416 957 1682 mgravelle@bereskinparr.com

Your Reference: 09/933,131
Our Reference: 10723-26

The Commissioner of Patents
& Trademarks
Washington, D.C. 20231
U.S.A.

Attention: Box Missing Parts

Dear Sir:

**Re: Notice To File Missing Parts Of Nonprovisional Application
United States Patent Application No. 09/933,131
Entitled: Method of Modulating Tumor Immunity
Inventors: Li Zhang and Kevin Young
Filing Date: August 21, 2001
Art Unit: 1646**

In accordance with the provisions of 37 C.F.R. §1.63, Applicants hereby submit an executed Declaration for Patent Application of inventors Li Zhang and Kevin Young along with the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted, mailed October 4, 2001. Applicants are simultaneously filing a petition for a one month extension of time, rendering the due date for reply January 4, 2002.

The government fee of \$435.00 is including in our cheque No. 4048, in respect of the filing fee of \$370.00 (small entity), surcharge of \$65.00 (small entity). Please charge any deficiency or credit any overpayment to our deposit No. 02-2095.

If any additional fee is due, including a fee for an extension of time, such an extension is hereby requested and the Commissioner is authorized to charge any such fee to Deposit Account No. 02-2095.

Respectfully submitted,

LI ZHANG and KEVIN YOUNG

A handwritten signature in cursive script, appearing to read "M. Gravelle", is written over a horizontal line.

Micheline Gravelle
Registration No. 40,261

Bereskin & Parr
Box 401, 40 King Street West
Toronto, Ontario
Canada M5H 3Y2

(416) 364-7311



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/933,131 | 08/21/2001 | Li Zhang | 10723-26 |

CONFIRMATION NO. 4949

FORMALITIES LETTER



OC00000006844357

MICHELINE & GRAVELLE
Bereskin & parr
Box 401
40 King Street West
Toronto, ON M5H 3Y2
CANADA



Date Mailed: 10/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

Te f
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2001 WBERKE 00000123 09933131

201
1008270.00
65.00